



McCarthy Multi-Cap Stock Fund Account Transfer Form

Regular Mail and Overnight Express Mail to: McCarthy Multi-Cap StockFund
c/o Gemini Fund Services, LLC
4020 S. 147th Street, Suite 2
Omaha, NE 68137

This form should be used in connection with a non-IRA transfer from another account to the McCarthy Multi-Cap Stock Fund. A transfer cannot take place if your assets have been distributed to you. For additional information, please call toll-free 1 (866) 811-0228.

1. New or Existing Account

- Transfer assets to an existing account number _____
- Establish a new account (New Account Application is attached).

2. Investor Information

FIRST NAME _____ MI _____ LAST NAME _____
SOCIAL SECURITY NUMBER _____
ADDRESS _____
CITY / STATE / ZIP _____
(_____) _____ (_____) _____
DAYTIME PHONE NUMBER _____ EVENING PHONE NUMBER _____

3. Instructions to Current Custodian

CURRENT CUSTODIAN _____ ACCOUNT NUMBER _____
ADDRESS _____
CITY _____ STATE _____ ZIP _____

I have established an account with McCarthy fund. Upon redemption, please transfer the assets, in cash, from the above account to the McCarthy Multi-Cap Stock Fund.

- Please transfer all of my assets.
- In-Kind Transfer from _____ Account # _____
to McCarthy Fund Account # _____
- Please transfer \$ _____ of my assets.

Please send the check representing the assets payable to the "McCarthy Multi-Cap Stock Fund" to:
McCarthy Multi-Cap Stock Fund
c/o Gemini Fund Services, LLC
4020 S. 147th Street, Suite 2
Omaha, NE 68137

4. Signature

X _____
SIGNATURE OF OWNER DATE (Mo / Dy /

SIGNATURE GUARANTEE* (FOR TRANSFERS FROM ANOTHER CUSTODIAN)

**A Medallion signature guarantee may be obtained from any eligible guarantor institution, as defined by the Securities and Exchange Commission. These institutions include banks, saving associations, credit unions, and brokerage firms. The words "SIGNATURE GUARANTEED" must be stamped or typed near each signature being guaranteed. The guarantee must appear with the printed name, title, and signature of an officer and the name of the guarantor institution. Please note that a Notary Public Seal or Stamp is not acceptable.*

